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Examiner: J. Ulm Group: 1646	U.S. Patent and Trademark Office	703-872-9307

FROM: David A. Gay Direct Phone: 858-535-9001

Registration No.: 39,200

Sent By: Carrie Hines

Client/Matter/Tkpr: 66797-028 (P-IX 1613)

Originals Follow by Mail: NO

Number of Pages, Including Cover: 12

Rc: United States Patent Application No.: 08/471,622
Filed: June 5, 1995
Inventor: William D. Huse
Entitled: SURFACE EXPRESSION LIBRARIES
OF HETEROMERIC RECEPTORS

RESPONSE UNDER 37 CFR 1.116
EXPEDITED PROCEDURE
EXAMING GROUP 1646

Transmitted herewith are the following OFFICIAL documents:

A communication with (1) Terminal Disclaimer, (2) a copy of the Recordation Form Cover Sheet as filed on July 9, 2003, (3) copy of an original Certificate of Amendment, and (4) a copy of an authenticating certificate from the Secretary of State, State of Delaware and a copy of the postcard stamped by the USPTO on July 14, 2003.: (11 pages)

CERTIFICATE OF FACSIMILE TRANSMISSION

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Patent and Trademark Office on August 29, 2003.

Carrie Hines
Name (printed)


Signature

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PATENT

Our Docket: 66797-028 (P-IX 1613)

RESPONSE UNDER 37 CFR 1.116

EXPEDITED PROCEDUREEXAMINING GROUP 1646RECEIVED
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AUG 29 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

William D. Huse

) Group Art Unit: 1646

) Confirmation No.: 8720

) Examiner: J. Ulm

Serial No.: 08/471,622

Filed: June 5, 1995

For: SURFACE EXPRESSION LIBRARIES)
OF HETEROMERIC RECEPTORS)

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that these 12 pages are
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Commissioner for Patents

P.O. BOX 1450

Alexandria, VA 22313-1450

Carrie Hines
NAME (printed) August 29, 2003
SIGNATURE DATE

Sir:

COMMUNICATION

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Submitted herewith is a copy of the Response filed July 9, 2003, responsive to the Advisory Action mailed June 3, 2003. Examiner Ulm indicated in a telephone conference today, August 29, 2003, that these documents have not been received. Applicant is submitting copies of the documents filed July 9, 2003, to be entered into the file and made part of the official record. Applicant respectfully requests immediate attention to this matter because the final date to file a Appeal Brief is September 12, 2003.

The documents filed July 9, 2003, and resubmitted herewith are a (1) Terminal Disclaimer, (2) a copy of the


Inventor: William D. Huse
Serial No.: 08/471,622
Filed: June 5, 1995
Page 2

Recordation Form Cover Sheet as filed on July 9, 2003, (3) copy of an original Certificate of Amendment, and (4) a copy of an authenticating certificate from the Secretary of State, State of Delaware. Also enclosed is a copy of the postcard stamped by the U.S. Patent and Trademark Office showing that these documents were received by the USPTO on July 14, 2003.

No fee is deemed necessary in connection with the filing of this Communication. However, if any fee is required, authorization is given to charge the amount of this fee to deposit account number 502624.

Respectfully submitted,

Date: August 29, 2003



David A. Gay
Registration No. 39,200
Telephone: (858) 535-9001
Facsimile: (858) 535-8949

MCDERMOTT, WILL & EMERY
4370 La Jolla Village Drive
Suite 700
San Diego, California 92122

COPY

ONE EXECUTED TERMINAL DISCLAIMER
(2 pages)

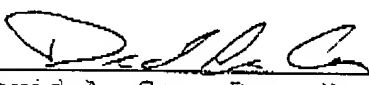
Attorney Client-Matter No.:

66797-028 (P-IX 1613)

Serial No.: 08/471,622

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 9, 2003.

By




David A. Gay, Reg. No. 39,200

July 9, 2003

Date of Signature

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Docket No. 66797-028

Form PTO-1595 (C&F Aug. 9, 2002) (Rev. 03/01) OMB No. 0551-0027 (exp. 5/31/2002)		RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
Tab settings					
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies): Ixsys, Incorporated			2. Name and address of receiving party(ies) Name: <u>Applied Molecular Evolution, Inc.</u> Internal Address: _____ _____ _____ Street Address: <u>3520 Dunhill Street</u> _____ _____ City: <u>San Diego</u> State: <u>CA</u> Zip: <u>92121</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
3. Nature of conveyance: <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input checked="" type="checkbox"/> Change of Name <input type="checkbox"/> Other _____					
Execution Date: <u>January 27, 2000</u>					
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) <u>08/471,622</u> _____ B. Patent No.(s) _____ _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>McDermott, Will & Emery</u> Internal Address: _____ _____ _____ Street Address: <u>4370 La Jolla Village Drive</u> <u>7th Floor</u> City: <u>San Diego</u> State: <u>CA</u> Zip: <u>92122</u>			6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41).....\$ <u>40.00</u> <input type="checkbox"/> Enclosed Any excess or insufficiency should be credited or debited to the deposit account below <input checked="" type="checkbox"/> Authorized to be charged to deposit account Attach duplicate copy of this page if paying by draft/credit account		
			8. Deposit account number: <u>50-2624</u>		
DO NOT USE THIS SPACE					
9. Signature. Name: <u>David A. Gay</u> Reg. No. <u>39,200</u> _____ Person Signing  Signature _____ Date <u>July 9, 2003</u> _____ Total number of pages including cover sheet, attachments, and documents: <u>3</u>					

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

State of Delaware

PAGE 1

Office of the Secretary of State

COPY

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "IXSYS, INC.", CHANGING ITS NAME FROM "IXSYS, INC." TO "APPLIED MOLECULAR EVOLUTION, INC.", FILED IN THIS OFFICE ON THE SECOND DAY OF FEBRUARY, A.D. 2000, AT 4:31 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Edward J. Freel
Edward J. Freel, Secretary of State

2204958 8100

0234230

AUTHENTICATION:

02-02-00

DATE

COPY

AMENDMENT TO
RESTATED CERTIFICATE OF INCORPORATION
OF
EKSYS, INC.
(a Delaware Corporation)

The undersigned corporation, organized and existing under and by virtue of the General Corporation Law of the State of Delaware does hereby certify that:

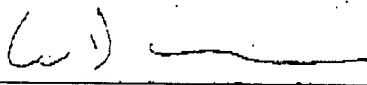
FIRST: The amendment to the corporation's Restated Certificate of Incorporation, as amended, set forth below was duly adopted in accordance with the provisions of Section 242 and has been consented to in writing by the stockholders in accordance with Section 223 of the General Corporation Law of the State of Delaware.

SECOND: Article I of the corporation's Restated Certificate of Incorporation is amended to read in its entirety as follows:

"The name of the corporation is Applied Molecular Evolution, Inc."

IN WITNESS WHEREOF, said corporation has caused this certificate to be signed by William D. Huse, its Chief Executive Officer, this 27th day of January, 2000.

EKSYS, INC.


By: William D. Huse
Chief Executive Officer

Aver Laser Index & Postcard #5389

COPY

Please acknowledge receipt of the accompanying:

- ☐ Response _____
- ☒ Other One executed Terminal Disclaimer
- ☒ Other A copy of an original Certificate of Amendment, and an authenticating certificate from the Secretary of State, State of Delaware, an Amendment to restated Certificate of Incorporation of Ixsys, Inc. and a Recordation form cover sheet.
- ☒ Transmittal form PTO-1083 (in duplicate)
- ☐ Petition for _____ month Extension of Time (in duplicate)
- Applicant's Name William D. Huse
- Serial Number 08/471,622 Filing Date June 5, 1995
- Examiner's Name J. Ullm Group Art Unit 1646
- Confirmation No.: 8720
- Title SURFACE EXPRESSION LIBRARIES OF HETEROMERIC RECEPTORS
- ☐ Fee \$ _____ is authorized to be charged to deposit account
- ☒ Certificate of Mailing - 1st Class Date Mailed: July 9, 2003
- Our Client-Matter No.: 66797-028 (P-IX 1613) Date Due: _____
- Client AME
- Attorney/Secretary DAG/rlm

Place your receiving date stamp hereon and return this card.

FORM UA Amend/Resp

Please acknowledge receipt of the accompanying:

- ☐ Response _____
- ☒ Other One executed Terminal Disclaimer
- ☒ Other A copy of an original Certificate of Amendment, and an authenticating certificate from the Secretary of State, State of Delaware, an Amendment to restated Certificate of Incorporation of Ixsys, Inc. and a Recordation form cover sheet.
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- Applicant's Name William D. Huse
- Serial Number 08/471,622 Filing Date June 5, 1995
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- Client AME
- Attorney/Secretary DAG/rlm

Place your receiving date stamp hereon and return this card.

FORM UA Amend/Resp

COPY

TRANSMITTAL LETTER			DOCKET NUMBER: 66797-028 (P-IX 1613)
SERIAL NO: 08/471,622	FILING DATE: June 5, 1995	EXAMINER: J. Ulm	GROUP ART UNIT: 1646 CONFIRMATION NO.: 8720
INVENTION: SURFACE EXPRESSION LIBRARIES OF HETEROMERIX RECEPTORS			

TO: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 9, 2003.

By: David A. Gay
David A. Gay, Reg. No. 39,200

July 9, 2003
Date of Signature

Transmitted herewith are the following documents in connection with the above-identified application:

1. One executed Terminal Disclaimer.
2. A true and correct copy of an original Certificate of Amendment, and an authenticating certificate from the Secretary of State, State of Delaware, an Amendment to Restated Certificate of Incorporation of Ixsys, Inc., and a Recordation Form Cover Sheet.

☐ Please charge my Deposit Account No. 502624 the amount of \$_____. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 502624. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge to Deposit Account No. 502624 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

David A. Gay
David A. Gay
Registration No. 39,200
MCDERMOTT, WILL & EMERY
4370 La Jolla Village Drive
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San Diego, California 92122
858-535-9001